



**BY COURIER**

No.B&S/Shares/Reg-40(9)/2016

October 19, 2016

The Secretary Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street <b>Mumbai-400001.</b>	The Asstt. Vice President National Stock Exchange Exchange Plaza, 5th Floor Bandra Kurla Complex, Bandra(E) <b>Mumbai-400051.</b>
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Sub : Certificate of Compliance of Regulation 40(9) and 7(3) under the SEBI Listing Obligations & Disclosure Requirements) Regulation, 2015.

Dear Sir,

We are enclosing herewith the Certificate of Compliance from Practising Company Secretary under Regulation 40(9) and Compliance Certificate duly signed by Compliance Officer of the Company & Authorised Representative of Registrar & Transfer Agent under Regulation 7(3) of the SEBI(Listing Obligations & Disclosure Requirements) Regulation, 2015 for the period from **01.04.2016 to 30.09.2016.**

Kindly acknowledge receipt of the same.

Yours faithfully,

  
(M.C. Jain)  
Company Secretary

**Encl. As above**

**R S M & CO.**

COMPANY SECRETARIES

D-63, JFF COMPLEX,  
JHANDEWALAN,  
NEW DELHI 110 055  
PHONE 011 23623813, 9911919008  
Email. Info.rsmco@gmail.com

**TO WHOMSOEVER IT MAY CONCERN**

**HALF YERLY COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF YEAR ENDED SEPTEMBER 2016**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to STEEL AUTHORITY OF INDIA LIMITED having at registered office at ISPAT BHAWAN LODHI ROAD NEW DELHI - 110003 maintained by at their share transfer agent MCS SHARE TRANSFER AGENT LIMITED office at F-65, 1<sup>st</sup> Floor, Okhla Industrial Area, Phase-I, New Delhi-110020 pertaining to transfer of equity shares of the company for the period from 01<sup>ST</sup> April, 2016 to 30<sup>th</sup> September, 2016 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI(LODR) Regulations, 2015 by, STEEL AUTHORITY OF INDIA LIMITED and on the information provided by the Company / Transfer agent hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2016.

- A) Share Certificate relating to the Share Transfer Forms received during the period from 01<sup>ST</sup> April, 2016 to 30<sup>th</sup> September, 2016 as entered in the Memorandum of Transfers has been issued within one month from respective date of lodgment of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within One month of lodgment.

For **R S M & CO.**  
Company Secretaries



**RAVI SHARMA**  
FCS 4468  
C.P.No. 3666

Place: New Delhi  
Date: 18.10.2016


## COMPLIANCE CERTIFICATE

[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]


We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65, Okhla Industrial Area, Phase-I, New Delhi – 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. Steel Authority of India Limited is adopting “April to March” as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended September 30, 2016 i.e. for the period from April 1, 2016 to September 30, 2016 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For Steel Authority of India Limited

  
**M Jain**  
मुकेश चन्द जैन / Mukesh Chand Jain  
Company Secretary  
रडील अथारिटी ऑफ इण्डिया लिमिटेड  
STEEL AUTHORITY OF INDIA LIMITED  
Date: 04.10.2016  
Place: New Delhi

For MCS Share Transfer Agent Limited

  
**S Biswas**  
Authorized Signatory  
